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Woman Inventors in the US Patent System an Exploration Analysis and Literature Review – A Pilot Study

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Abstract

In this pilot study, we explore patents for two fields: civil engineering and pharmaceuticals fields. These two fields were chosen based on their participation rate for women on patents. Civil engineering patents have a very low percentage of female patent inventors, whereas pharmaceutical patents have a higher percentage.

In this report, we cover the following topics for Civil Engineering and Pharmaceutical Patents

1. Inventor Locations– slides 5-30.

2. Patent inventor collaborations and gender composition-slides 31-40.

3. Comparing Name Gendering Techniques – slides 41-60.

4. Literature Review – policy ideas –slides 61-79.

5. References - slides 80-83.

6. GitHub (code, data, literature, report) - https://github.com/uva-

bisdad/us_patents_case_study/upload/main/01_documents/Literature (slide 84)

About the University of Virginia's Social and Decision Analytics Division

The **Social and Decision Analytics Division (SDAD)** is a leading Division in the Biocomplexity Institute at the University of Virginia. The Biocomplexity Institute is at the forefront of a scientific evolution, applying a deeply contextual approach to answering some of the most pressing challenges to human health and well-being within our changing environment. SDAD was created in the fall of 2013 to extend the Biocomplexity Institute's capabilities in social informatics, policy analytics, and program evaluation. The researchers at SDAD form a multidisciplinary team, with expertise in statistics, policy and program evaluation, economics, political science, psychology, computational social science, and data governance and information architecture. SDAD's mission is to embrace today's data revolution, developing evidence-based research and quantitative methods to inform policy decision-making and evaluation.

Women Inventors in the US Patent System An Exploratory Analysis and Literature Review - A Pilot Study

November 30, 2022

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- Olivia Davis, 2nd-year student, SDAD intern, Biocomplexity Institute, University of Virginia

Contract

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- GitHub- uva-bi-sdad/us_patents_case_study <u>https://github.com/uva-bi-sdad/us_patents_case_study/upload/main/01_documents/Literature</u>

Agenda

Women Inventors in the US Patent System

- Explore patents for two fields: civil engineering and pharmaceuticals fields
- These two fields were chosen based on their participation rate for women on patents. Civil engineering patents have a very low percentage of female patent inventors, whereas pharmaceutical patents have a higher percentage.

Presentation order and references

- 1. Inventor Locations for Civil Engineering and Pharmaceutical Patents Kathryn Linehan (slides 5-30)
- 2. Patent inventor collaborations and gender composition for Civil Engineering and Pharmaceuticals Leonel Siwe (slides 31-40)
- 3. Comparing Name Gendering Techniques Neil Kattampallil (slides 41-60)
- 4. Literature Review policy ideas Stephanie Shipp (slides 61-79)
- 5. References (slides 80-83)
- 6. GitHub-<u>https://github.com/uva-bi-</u> <u>sdad/us_patents_case_study/upload/main/01_documents/Literature</u> (slide 84)

Data Source Used

- <u>PatentsView</u> 1976 2021 (downloaded on August 17th, 2021)
 - We use the USPTO male_flag values for gender assignment, 1 for male and 0 for non male.
 Source: Yang et al 2022
 - This procedure implements a binary gender system to remain consistent with most existing gender studies in science (Pinho et al. 2020, Hahn & Bentley 2003), which is not designed to address the important issue of nonbinary gender distinctions in the data.

1. Inventor Locations *Civil Engineering & Pharmaceuticals*

Explore patent inventor locations by gender for the Civil Engineering & pharmaceuticals fields

- If an inventor moved locations in a given year, that inventor is counted in all locations. For example, if an inventor lived in Boston and New York in the same year, the inventor would be represented in the count of inventors in Boston and in New York.
- Data Download: To download the data that we used to create these visualization (XLSX format) <u>https://uva-bi-sdad.github.io/uspto_gender_analysis/</u>
- Source code: https://github.com/uva-bi-sdad/uspto_gender_analysis/tree/main

Civil Engineering Patents

PatentsView Data – Civil Engineering patents as of 8/17/22

- Data from 1976-2021
- 259,248 unique patents
- 228,583 unique inventors
- 38,668 unique locations

Inventor Gender Breakdown

Gender	Count	Percent
Male	203,615	89.1%
Female	16,584	7.3%
Unknown (NA)*	8,384	3.7%

Source: Last Updated date from PatentsView: - all datasets except inventor: 3/29/22 - inventor data set: 5/22/22; *This includes inventors for which gender could not be attributed (7,160) and those which have not yet had gender attributed (1,224)

Civil Engineering Gender Breakdown

S,000 5,000 5,000 0 1980 1990 200 2010 2020

Number of Civil Engineering Patents by Gender, 1976-2021

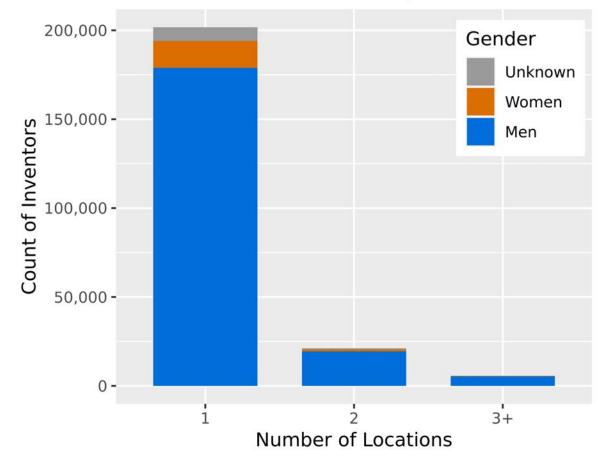
Percentage of Civil Engineering Patents with at Least One Women Inventor, 1976-2021 16-Percentage 8 4 1980 2010 1990 2000 2020 Year

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Civil Engineering Inventor Location Patterns

Research Questions:

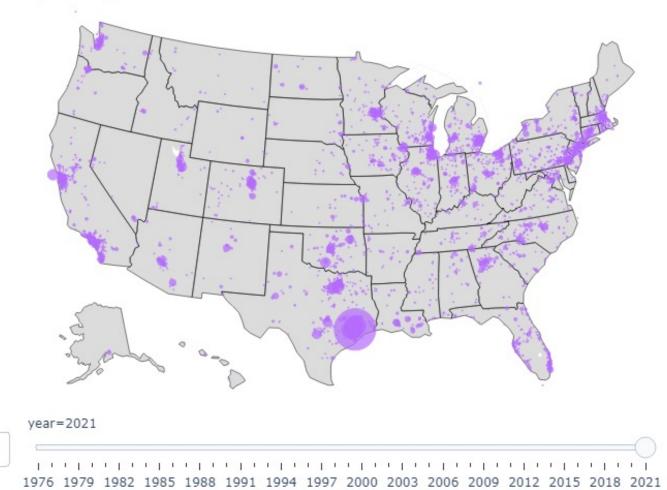
- Where are inventors located?
- For those that do change locations, are there any sourcedestination patterns?
- Does gender play a role in location?



Number of Locations per Inventor

Civil Engineering Inventor Locations (US) - All

Civil Engineering Patent Inventor Locations - All



Interactive map: Go to https://uva-bi-sdad.github.io/uspto_gender_analysis/

Notes: 1) Marker size is relative to the maximum number of inventors located in one city. 2) Latitude and longitude are plotted as given in the data - some cities were incorrectly geolocated. 3) Missing geolocations seem to occur from a misspelled city and/or state; we dropped these observations from the dataset.

Top Ten US Locations, 1976-2021

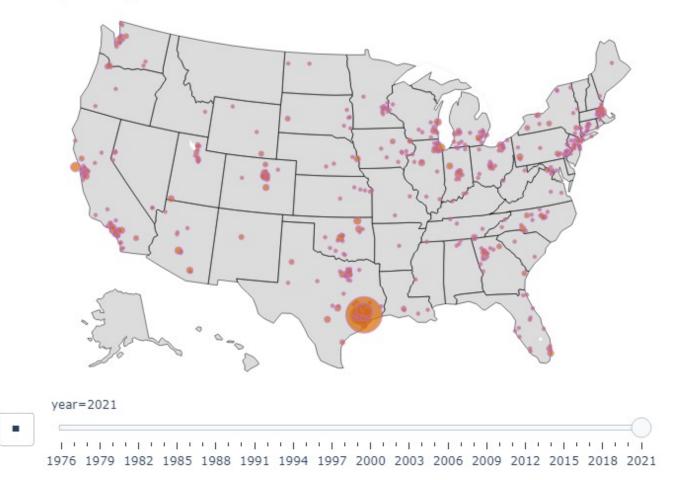
Location	Number of Inventors
Houston, TX	18,816
Spring, TX	3,120
Sugar Land, TX	2,614
Katy, TX	2,525
The Woodlands, TX	2,047
Duncan, OK	1,732
Dallas, TX	1,542
Cypress, TX	1,528
Tulsa, OK	1,146
Kingwood, TX	1,105

Key Takeaways:

- Civil engineering hub in Texas (near Houston)
- More civil engineering inventors over time

Civil Engineering Inventor Locations (US) - Women

Civil Engineering Patent Inventor Locations - Women



Interactive map: Go to https://uva-bi-sdad.github.io/uspto_gender_analysis/

Notes: 1) Marker size is relative to the maximum number of women inventors located in one city. 2) Latitude and longitude are plotted as given in the data - some cities were incorrectly geolocated. 3) Missing geolocations seem to occur from a misspelled city and/or state; we dropped these observations from the dataset.

Top Ten US Locations, 1976-2021

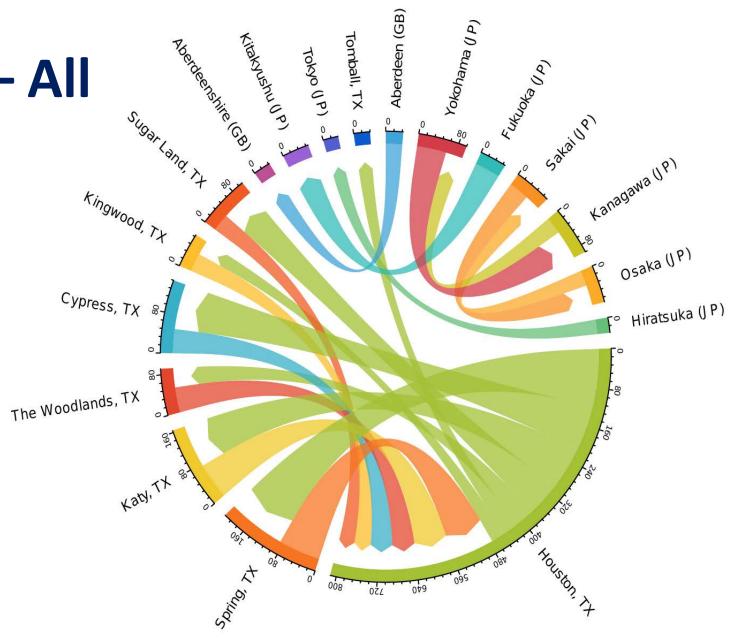
Location	Number of Inventors
Houston, TX	1,296
Sugar Land, TX	226
Katy, TX	178
Spring, TX	172
The Woodlands, TX	124
Dallas, TX	97
Cypress, TX	91
Duncan, OK	90
New York, NY	78
Austin, TX	70

Key Takeaways:

- Women inventors appear to exist in more frequent numbers in the same cities where male inventors exist in more frequent numbers
- More women inventors over time

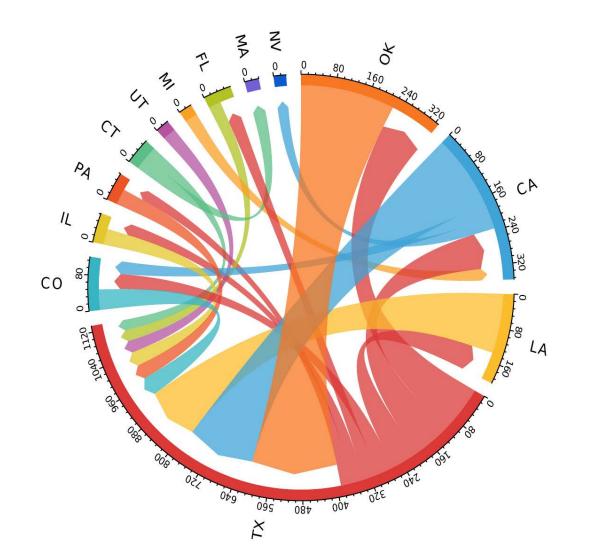
Civil Engineering Inventor Relocation – All

- Visualization of where inventors move from (source) and to (destination) for 1976-2021
 - The values represent number of moves
- Top 20 source-destination pairs
- Most frequent relocations occur in Texas and involve Houston
- The inflow and outflow for Houston are almost equal



Civil Engineering Inventor Relocation by State - All

Top 20 Moves Between States (US), 1976-2021



Key Takeaways between States

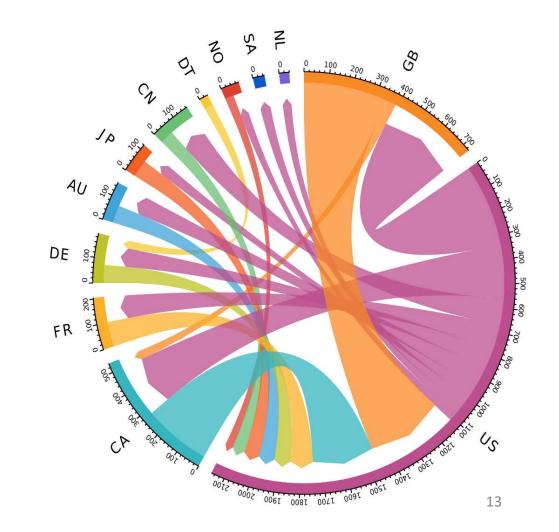
- TX has the largest inflow with large (comparatively) contributions from OK, CA, LA
- The inflow for TX is about double that of its outflow (i.e., more civil engineer inventors move to Texas than leave Texas)
- TX is the most popular relocation for inventors moving between states

Civil Engineering Inventor Relocation between Countries – All Inventors

Key Takeaway between Countries

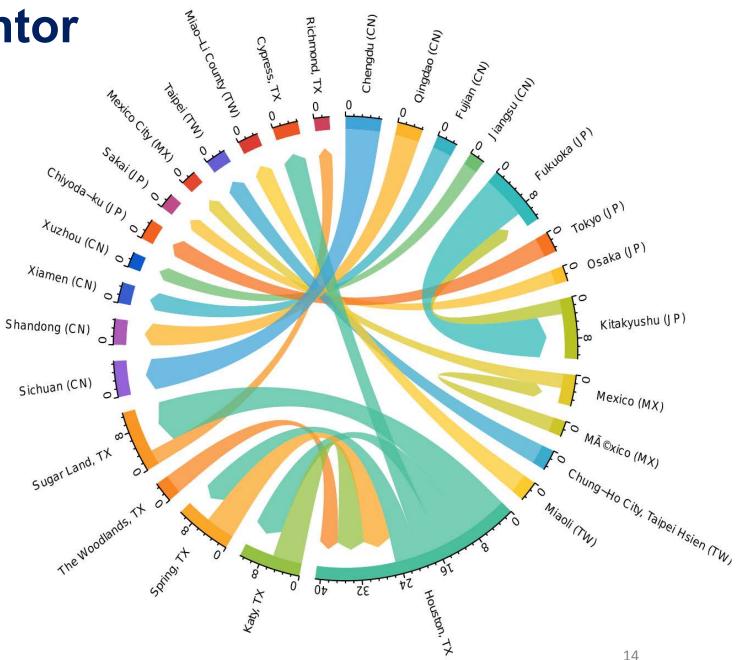
- The US has the largest inflow with large (comparatively) contributions from Canada and Great Britain
 - Canada and Great Britain have about an equal exchange of inventors coming from the US and leaving to go to the US
- The inflow for the US is about the same as its outflow
- US is the most popular relocation for inventors moving between countries

Top 20 Moves Between Countries, 1976-2021



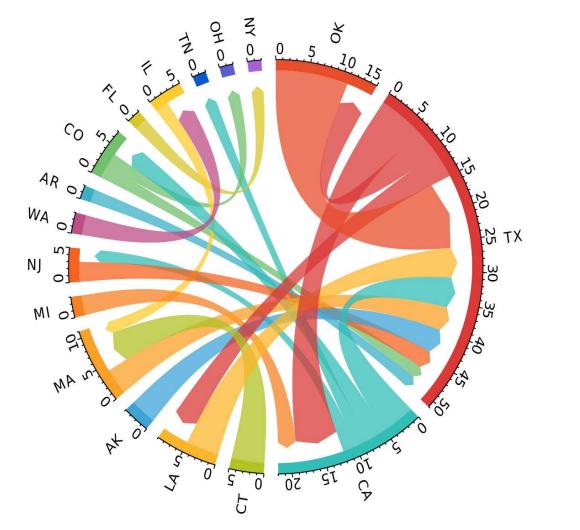
Civil Engineering Inventor Relocation – Women

- Visualization of where inventors move from (source) and to (destination)
- Top 20 source-destination pairs
- Small frequencies of moves compared to those for all inventors
- Most popular relocations occur in Texas and involve Houston
- The inflow to Houston, TX is slightly less than the outflow



Civil Engineering Inventor Relocation by State – Women Inventors

Top 20 Moves Between States (US), 1976-2021



Key Takeaways:

- Small frequency of moves compared to all inventors so it is difficult as a comparison to all inventors
- TX is a hub just as it is for all inventors

By State:

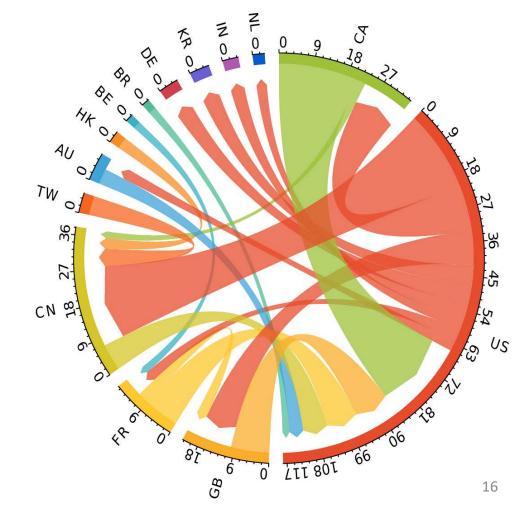
- TX has the largest inflow with a large (comparatively) contribution from OK
- The inflow for TX is about triple that of its outflow (ie. more civil engineering inventors move to Texas than leave Texas)
- TX is the most popular relocation for inventors moving between states

Civil Engineering Inventor Relocation Between Countries – Women Inventors

Key Takeaways between Countries

- Small frequency of moves compared to all inventors so it is difficult as a comparison to all inventors
- US is a hub just as it is for all inventors
- The US has the largest inflow with a large (comparatively) contribution from Canada
- The inflow for is the US is about the same as its outflow
- China's inflow is 4 times its outflow

Top 20 Moves Between Countries, 1976-2021



PHARMACEUTICAL Patents - Inventor Location

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Pharmaceuticals Patents

PatentsView Data – downloaded on 8/17/22

- Data from 1976-2021
- 309,335 unique patents
- 283,382 unique inventors
- 33,809 unique locations

Inventor Gender Breakdown

Gender	Count	Percent
Male	197,650	69.7%
Female	66,877	23.6%
Unknown (NA)*	18,855	6.7%

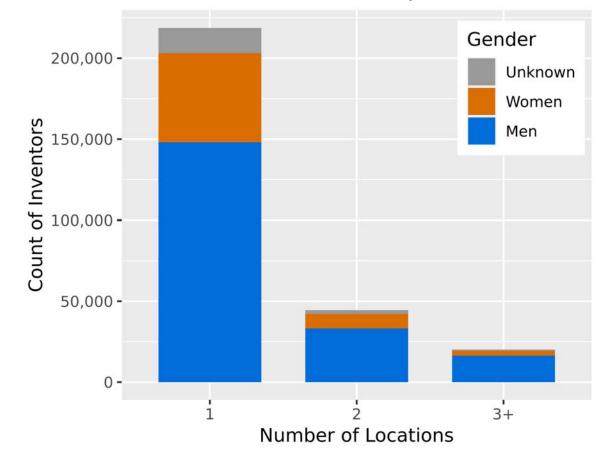
Source: Last Updated date from PatentsView: - all datasets except inventor: 3/29/22

- inventor data set: 5/22/22; *This includes inventors for which gender could not be attributed (7,160) and those which have not yet had gender attributed (1,224)

Pharmaceuticals - Inventor Location Patterns

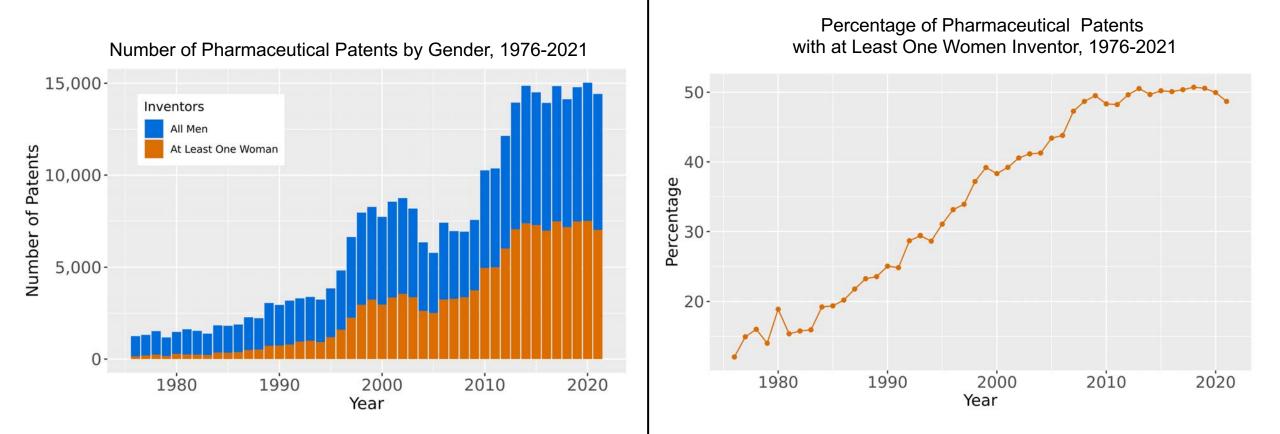
Research Questions

- Where are inventors located?
- For those that do change locations, are there any source-destination patterns?
- Does gender play a role in location?



Number of Locations per Inventor

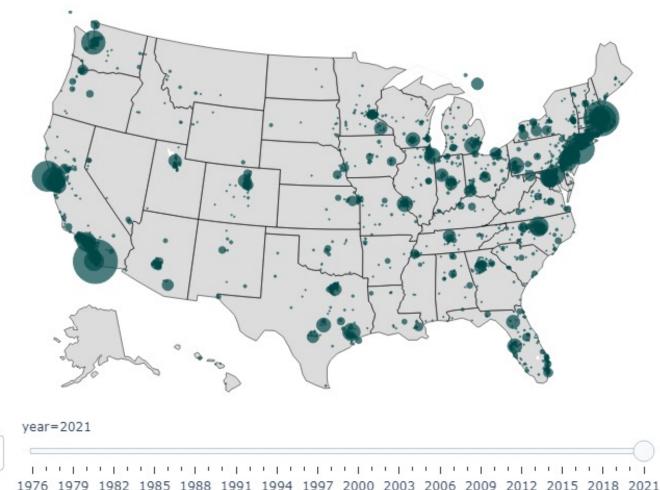
Pharmaceuticals - Gender Breakdown



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Pharmaceutical Inventor Locations (US) - All

Pharmaceuticals Patent Inventor Locations - All



Interactive map: Go to https://uva-bi-sdad.github.io/uspto_gender_analysis/

Notes: 1) Marker size is relative to the maximum number of inventors located in one city. 2) Latitude and longitude are plotted as given in the data - some cities were incorrectly geolocated. 3) Missing geolocations seem to occur from a misspelled city and/or state; we dropped these observations from the dataset.

Top Ten US Locations, 1976-2021

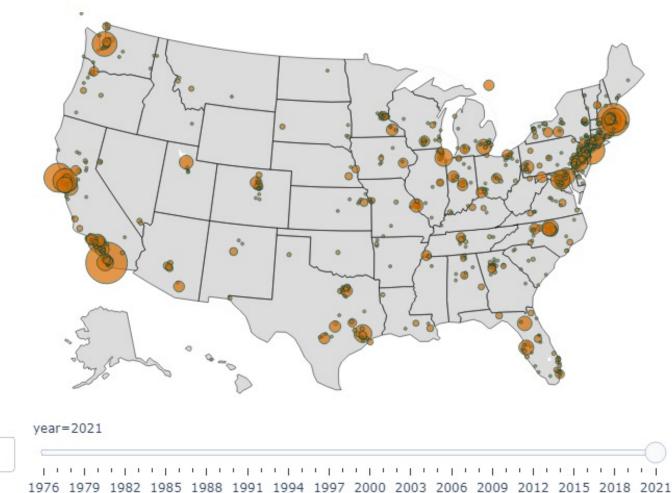
Location	Number of Inventors
San Diego, CA	14,083
San Francisco, CA	6,819
New York, NY	6,346
Cambridge, MA	5,205
Seattle, WA	4,223
Boston, MA	3,452
Indianapolis, IN	3,201
Ann Arbor, MI	3,060
Houston, TX	2,956
Palo Alto, CA	2,933

Key Takeaways:

- Pharma hubs in CA and the Northeast
- More pharma inventors over time

Pharmaceutical Inventor Locations (US) - Women

Pharmaceuticals Patent Inventor Locations - Women



Interactive map: Go to https://uva-bi-sdad.github.io/uspto_gender_analysis/

Notes: 1) Marker size is relative to the maximum number of women inventors located in one city. 2) Latitude and longitude are plotted as given in the data - some cities were incorrectly geolocated. 3) Missing geolocations seem to occur from a misspelled city and/or state; we dropped these observations from the dataset.

Top Ten US Locations, 1976-2021

Location	Number of Inventors
San Diego, CA	3,121
San Francisco, CA	1,550
New York, NY	1,312
Cambridge, MA	1,177
Seattle, WA	1,052
Palo Alto, CA	626
Houston, TX	595
Boston, MA	588
Ann Arbor, MI	586
Brookline, MA	557

Key Takeaways:

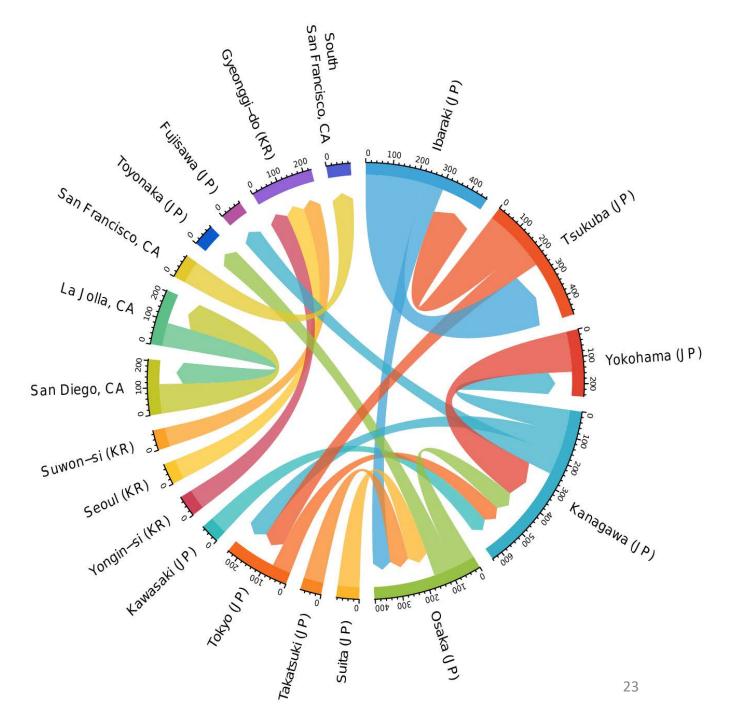
- Women are located more frequently in the same

locations where all inventors are located more frequently

- More women inventors over time

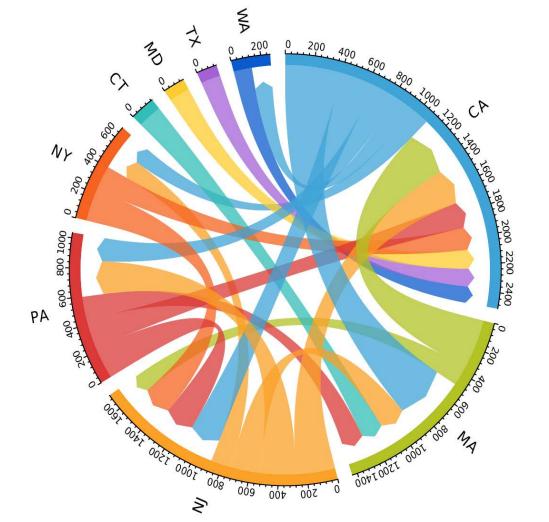
Pharma Inventor Relocation – All

- Visualization of where inventors move from (source) and to (destination) for 1976-2021
 - The values represent number of moves.
- Top 20 source-destination pairs
- Most popular relocations occur in Japan, Korea and California
- Relocation is generally intracountry and intra-state (US)



Pharma Inventor Relocation between States - All

Top 20 Moves Between States, 1976-2021 (US)



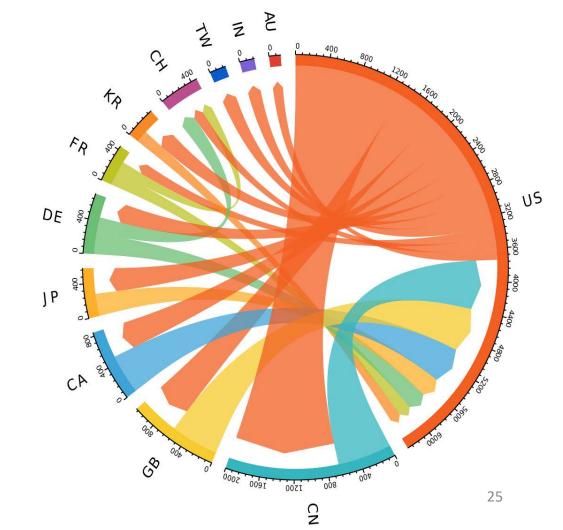
Key Takeaways between States

- Popular relocations involve CA, MA, NJ, NY, and PA
- There are relocations within the Northeast and between the Northeast and California

Pharma Inventor Relocation Between Countries – All Inventors

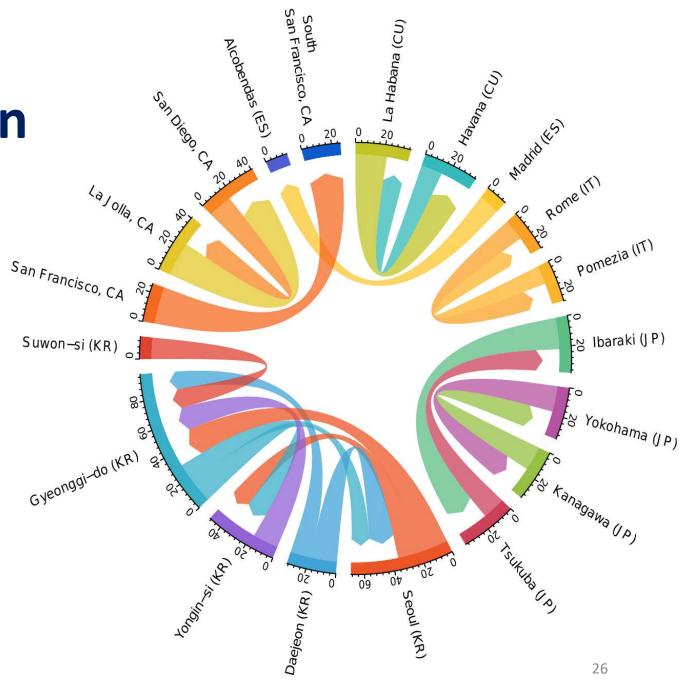
- Key Takeaways between Countries
- Outflow for the US is larger than inflow, with the main countries contributing to inflow being Great Britain, China (CN), and Canada (CA)
- Inventors move from the US to China about twice as often as inventors move from China to the US

Top 20 Moves Between Countries, 1976-2021



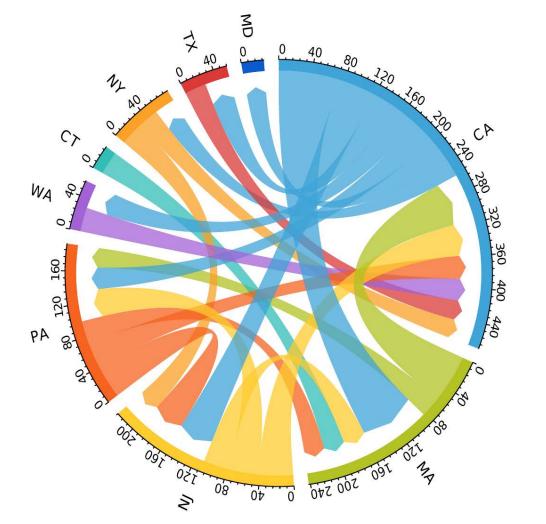
Pharma Inventor Relocation – Women

- Visualization of where inventors move from (source) and to (destination) for 1976-2021
- Top 20 source-destination
 pairs
- Small frequencies of moves compared to those for all inventors
- Relocation is generally intra-country and intra-state (US)



Pharma Inventor Relocation between States – Women Inventors

Top 20 Moves Between States, 1976-2021 (US)



Key Takeaways

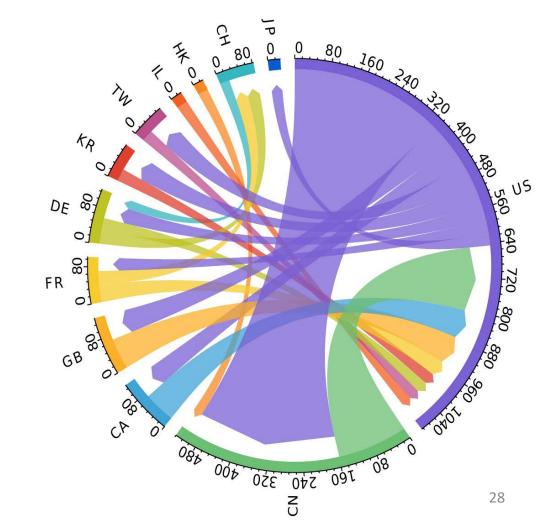
- Small frequency of moves for
 women inventors compared to all
 inventors
- Outflows from California (CA) are slightly larger than inflows to CA
 Proportionally similar patterns to moves of all inventors between states

Pharma Inventor Relocation between Countries – Women Inventors

Key Takeaways

Small frequency of moves compared to all inventors
Inflows to the US are slightly larger than outflows
Outflows from the US are to China, other Asian countries and France, Great Britain, and Canada

- Proportionally similar patterns to moves of all inventors between countries Top 20 Moves Between Countries, 1974-2021



Key Takeaways

Where are inventors located?

- There are more unique patents and inventors in pharmaceuticals, but fewer unique inventor locations.
- The hub for civil engineering patent inventors is in Texas, specifically in the Houston area.
- Hubs for pharma patent inventors include San Diego, San Francisco, and the Northeast.

Key Takeaways

For inventors who change locations, are there any source-destination patterns?

- Civil engineering inventors tend to move into Texas at about double the rate at which inventors move out of Texas
- Popular relocations for pharmaceutical inventors involve California, Massachusetts, New Jersey, New York, and Pennsylvania

Does gender play a role in location?

- Proportionally, it does not appear that inventors who are women live in different locations than inventors who are men
- Inventor relocations generally show similar patterns for men and women

2. Collaborative Patents & Gender Diversity on Civil Engineering & Pharmaceuticals

- Explore inventor collaboration, seniority, and mentorship on Civil Engineering and Pharmaceuticals patents by gender
 - Collaboration
 - Collaborative: patents have more than one inventor
 - Solo: patents have one inventor
 - Seniority is defined at a given year, e.g., someone is senior in 2000, if they created a patent prior to 2000
 - Women-to-Women Mentorship new (first-time) inventor; senior inventor
 - Senior new inventor mentorship
 - New new relationship
 - Senior senior relationship

Percentage of women inventors on Pharmaceuticals and Civil Engineering patents

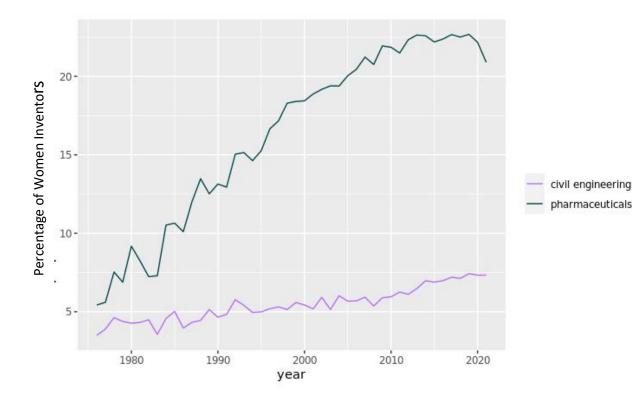


Figure : Percentage of women inventors in Pharmaceuticals and Civil Engineering, 1976-2021

Source: USPTO PatentsView, 1976-2021

- In 1976, the percentage of women inventors on pharmaceuticals and civil engineering patents was almost the same in those fields.
- Over time, there has been an increasing gap in the percentage of women between the two fields.
- In 2021, the percentage of women on pharmaceuticals patents was 3 times higher than the proportion of women on civil patents

Pharmaceuticals and Civil Engineering Patents by Number of Collaborators per Patent

2020

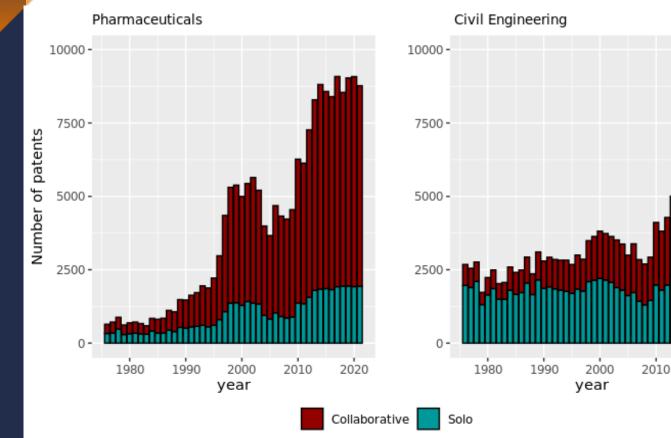


Figure: Distribution of patents by collaborative status

Source: USPTO PatentsView, 1976-2021

Patents categories:

- **Collaborative:** patents with more than one inventor
- Solo: patents with only one inventor

In both fields, the number of solo patents is almost constant (or grows at a lower rate). Collaborative patents are driving the growth in the number of patents over time.

There are more collaborative pharmaceutical patents compared to civil engineering patents.

Collaborative Patents by Gender

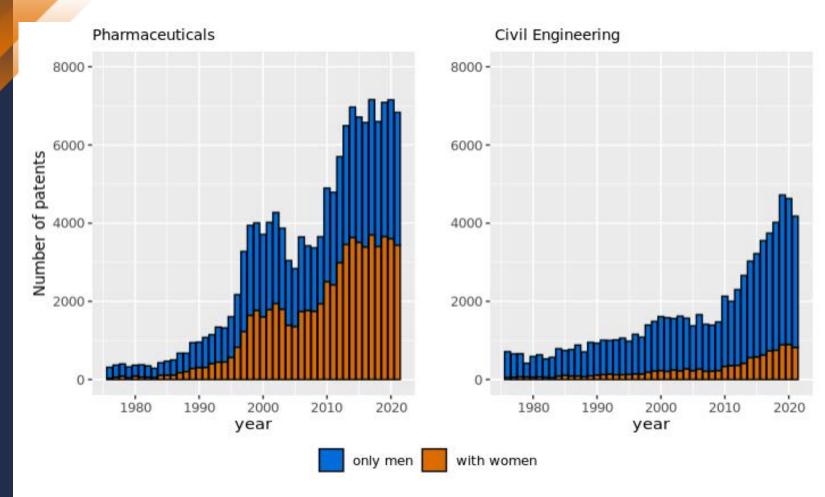


Figure: Distribution of (collaborative) patents according to the presence of women

 About half of collaborative patents in pharma include women, compared with a low number of women on civil engineering patents

 The share of collaborative patents with only men is very large in civil engineering and has increased over time

Source: USPTO PatentsView, 1976-2021

Collaborative patents with at least one woman

Table 1: Gender diversity in collaborative patents

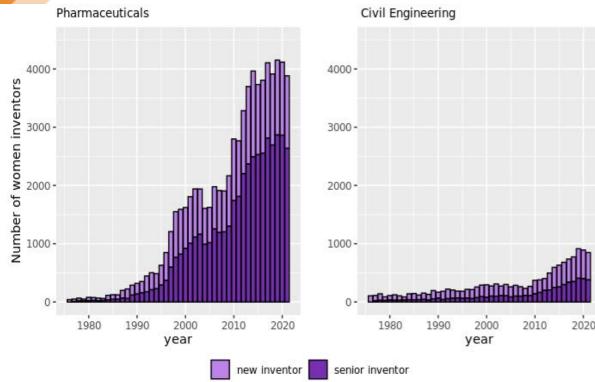
The table only considers collaborative patents with at least one women

field	period	Number of inventors per		Percentage of women on F		Percentage of patents by number of women included			
		patents ir	n a year	patents in a year (%)			by year (%)		
		mean	sd	mean	sd	one woman	two women	3 or more women	
civil engineering	1976-1990	2.56	0.97	44.72	0.13	89.13	9.78	1.09	
civil engineering	1990-2000	3.11	1.64	40.42	0.15	92.09	6.67	1.24	
civil engineering	2000-2010	3.84	2.30	35.83	0.16	90.81	7.58	1.61	
civil engineering	2010-2021	3.91	2.07	34.96	0.15	86.82	10.96	2.22	
pharmaceuticals	1976-1990	3.03	1.24	41.33	0.15	86.19	11.28	2.53	
pharmaceuticals	1990-2000	3.80	1.97	39.51	0.17	76.87	18.39	4.74	
pharmaceuticals	2000-2010	4.70	3.07	37.43	0.18	66.61	22.91	10.48	
pharmaceuticals	2010-2021	4.98	3.21	36.88	0.18	62.64	24.78	12.58	

- Over time, while the number of inventors on a patent is growing, the percentage of women (overall) on collaborative patents is declining – 45% to 35% on civil engineering and 41% to 37% on pharmaceutical patents
- The percentage of patents with two women and three or more women has increased more on pharmaceuticals than on civil engineering patents.
 - Pharmaceutical patents 11 to 25% (2 women on patents); 3 to 13% (3 or more women on patents)
 - Civil engineering patents 10 to 11% (2 women on patents); 1 to 2% (3 or women on patents)

Source: USPTO PatentsView, 1976-2021

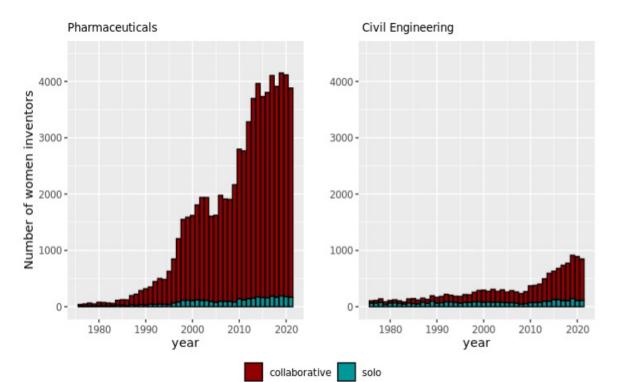
Distribution of women inventors by seniority and collaborative status



- There are more new and senior women inventors in pharma than civil engineering
- Senior inventor already has a patent in the prior year or earlier; New inventor- first time inventor

Source: USPTO PatentsView 1976-2021

Women inventors are more likely to participate on collaborative patents than those submitting a solo patent in both Pharmaceuticals than Civil Engineering.



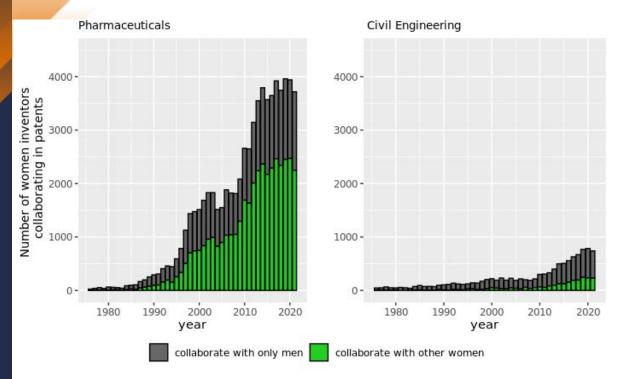
Distribution of new and senior women inventors by collaboration type

Table: Distribution of new and senior women inventors by collaboration type

Field	Period	Average number of patents per year for	Percentage of new	w women inventors (%)	Percentage of senio	or women inventors (%)
		women inventors	Solo patenting	Collaborating patents	Solo patenting	Collaborating patents
civil engineering	1976-1990	4.70	49.45	50.55	50.25	49.75
civil engineering	1990-2000	3.89	34.27	65.73	39.20	60.80
civil engineering	2000-2010	9.42	25.30	74.70	22.92	77.08
civil engineering	2010-2021	15.81	17.90	82.10	14.76	85.24
pharmaceuticals	1976-1990	8.36	18.78	81.22	21.00	79.00
pharmaceuticals	1990-2000	15.81	8.21	91.79	8.52	91.48
pharmaceuticals	2000-2010	24.42	5.70	94.30	4.79	95.21
pharmaceuticals	2010-2021	15.63	5.47	94.53	3.96	96.04

• The percentage of women on solo and collaborative patents is about the same for new and senior inventors.

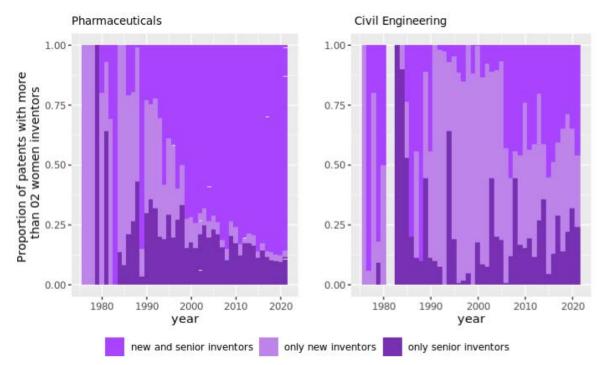
Mentorship in collaborative patents



- More women inventors collaborate with other women on pharmaceutical patents than on civil engineering patents.
- For civil engineering, there were no patent with two or more women in 1981 (white bar).

Source: USPTO PatentsView, 1976-2021

- Since 2000, women's collaboration on pharmaceutical patents primarily involves senior and new inventors (women-towomen mentorship)
- Since 2010, collaboration has been distributed across the three types of mentorship/relationships on civil engineering patents.



Percentage of women by occupation and doctorates (PhDs) in Pharmaceuticals and Civil Engineering

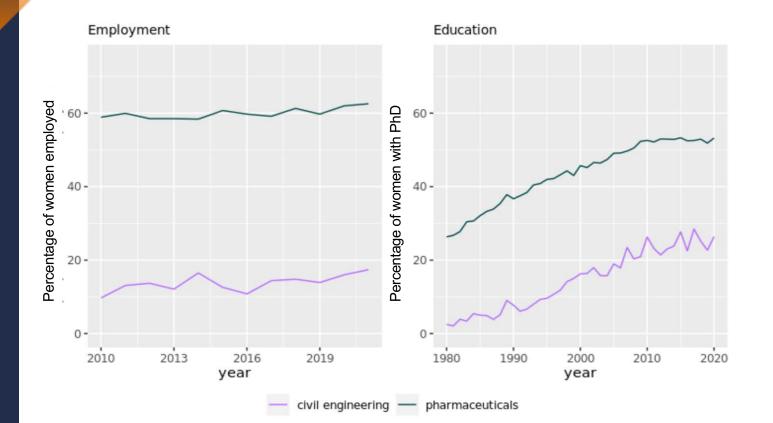


Figure: Percentage of women in occupations and education fields

Source: Bureau of Labor Statistics, Current Population Survey (2010-2021) NCSES, Survey of Earned doctorates, (1980-2021)

- The percentage of women employed in the pharmaceutical industry is higher than the percentage of women in civil engineering
- The percentage of women with doctorates in pharmaceuticals is higher than the percentage of women in civil engineering.

Key Takeaways

- The number and percentage of women inventors on pharmaceutical patents are higher and growing faster than women inventors in civil engineering.
- Collaborative patents make up the majority of the overall number of patents in both fields over time.
- The number of patents involving women inventors has increased over time.
- More mentorship is observed between women inventors collaborating in pharmaceuticals than civil engineering.

3. Comparing Name Gendering Techniques

Goal: Analyze software packages to compare techniques and outputs using PatentsView as a benchmark within the set of inventors that work in the fields of Civil Engineering and Pharmaceuticals.

Data used: PatentsView public data Inventors dataset

- contains a 'male_flag' column (male=1; female=0)
- We use the indicator to decide whether an Inventor is a man or a woman.

<u>male flag</u> value is generated using an *analytical method that predicts* gender based on the name of the individual and other criteria (e.g., country, time, etc.) and relies on the assumption that frequently, names are genderspecific

Source: Slide 4 of <u>Assessing approaches for identifying the gender of inventors</u> on patents by <u>Michelle Saksena</u> - <u>https://patentsview.org/events/august26-2022</u>

PatentsView Gendering Process

Analyze and compare PatentsView gendering method to the performance of other open-source or publically accessible methods.

Tier 1: Uses the IBM Global Name Recognition (GNR) database to classify inventors using their first name.

• Names are attributed if the probability associated with their name is equal to or greater than 97%. The threshold is reduced to 95% for popular first names in the GNR library.

Tier 2: Still uses the GNR database, but only for country-name correlations.

• Country of origin is assigned to the country with the highest correlation. Then, a gender is assigned to each inventor, conditional on the gender information provided by the World Gender-Name Dictionary (WGND 1.0).

Tier 3: Uses same GNR process from tier 1, but decreases the thresholds for Asian-origin names (chiefly China, Singapore, Taiwan, Macao, Hong Kong, Korea and India).

Source: From Assessing approaches for identifying the gender of inventors on patents by Michelle Saksena https://patentsview.org/events/august26-2022

Methods Used

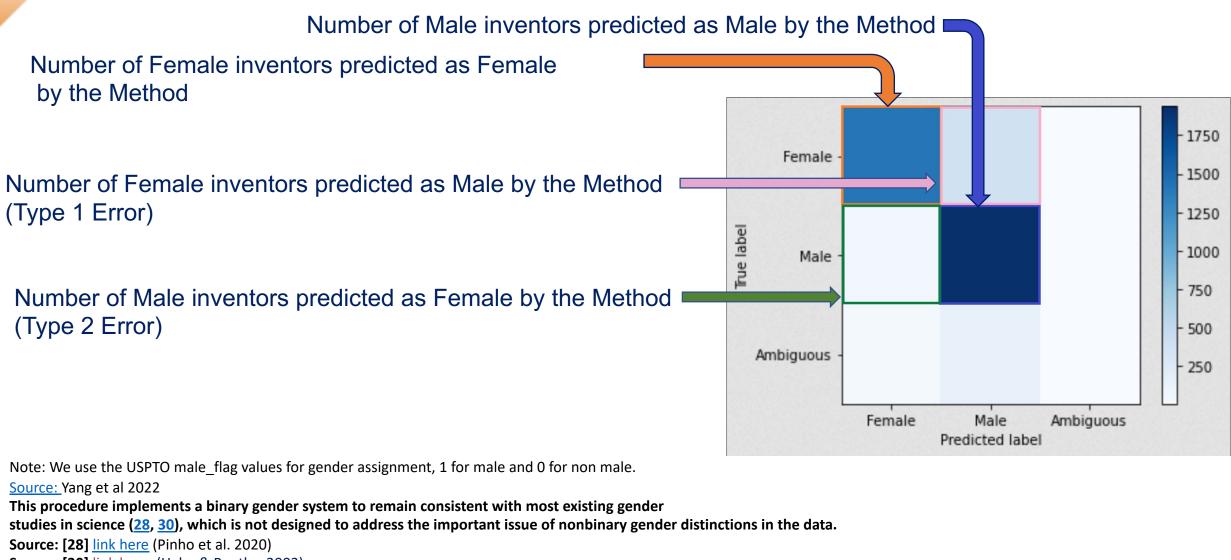
Commonly used methods and datasets for the task of inferring gender from first name.

- WGND 1.0: World Gender Name Dictionary version 1(2016)
- WGND 2.0: World Gender Name Dictionary version 2 (2021) (incorporates country information)
- Gender Guesser in Python <u>https://pypi.org/project/gender-guesser/</u> (based on a dataset from 2006)
- 'gender' in R <u>https://cran.r-project.org/web/packages/gender/gender.pdf</u> (4 methods in 1)
 - 1. gender:"ssa" (Better for American names, can be improved by using date of birth)
 - 2. gender:"ipums" (Uses IPUMS international microdata for names)
 - 3. gender:"napp" North American countries (better for UK and US and Nordic Countries)
 - 4. gender:"genderize" (Limit 1000 a day) Most well referenced method, also uses Country <u>https://api.genderize.io?name=peter&country_id=US</u>

Our Analysis

- Take a representative stratified sample (by country) of inventors for Civil Engineering and Pharmaceuticals
 - 2000 inventor names for each industry
- Use each method to assign a gender to the first name of the inventor.
- Compare these gender results to the PatentsView gender value and examine cases of mismatch
- The Confusion Matrix shows the number of correct classifications each method is able to make from our sample.

Reading the Confusion Matrix



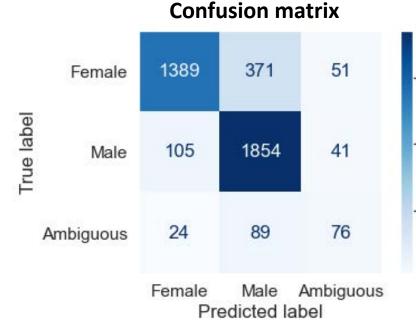
Source: [30] link here (Hahn & Bentley 2003)

WGND 1.0 World Gender Name Dictionary

Maintained by Harvard Dataverse

Contains 6.2 million names for 182 different countries. (Last update Nov 2016) Consolidated by <u>WIPO</u> with the express purpose of identifying the participation of women inventors[ref] Possible values: M or F

- The Confusion Matrix shows that this method is best at identifying male names (true & predicted labels match 1854 times)
- Erroneous classifications in this method tend to gender females as males (371 times) around 3 times as often as it genders males as female (105 times).
- Some Asian names are challenging.
 - Nguyen, for example is the most common Vietnamese last name, but in the PatentsView dataset there are cases where it appears as a first name.



	Names the method is not	able to gender	Mismatched Names in Patentsview using this method		
	Name and Frequ	lency	Name and Frequency		
- 1500	casey	5	michael	60	
- 1500	jean-claude	3	robert	52	
	pengfei	3	john	46	
- 1000	jean-marie	2	james	43	
	anne-marie	2	david	39	
	stéphane	2	paul	33	
- 500	nguyen	2	maria	29	
	munehiko	1	william	28	
	sukdeb	1	richard	27	
	jérôme	1	mark	27	
	-				

WGND 2.0 World Gender Name Dictionary

Updated version of WGND 1.0

Contains 26 million records for 195 different countries. (Last update 2021)

Consolidated by WIPO with the express purpose of identifying the participation of women inventors[ref]

Possible values: M or F or ?

Uses country codes for more effective matching

(Add male names mismatched and female names mismatched)

- The Confusion Matrix shows that this method is best at identifying male names (true & predicted labels match 1924 times)
- Erroneous classifications in this method tend to gender females as males (342 times) compared to the previous method. There is not much progress when it comes to female names (342 misidentified compared to 371 in WGND 1.0).

	Famala	1425	342	44		Names the methoo gende		Names that mismatch with this meth	
	Female	1420	342	44	- 1500	Name and Fi	requency	Name and Fre	quency
						young	10	young	12
label						hyun	4	james	11
	Male	47	1924	29	- 1000	ju	3	michael	9
Ine						sung	3	mike	5
Т			51	-		seung	2	casey	5
	A 1:	22	120	24	- 500	sang	2	mark	5
	Ambiguous	33	132	24		yun	2	john	5
						in	2	dominique	5
		Female	Male	Ambiguous		you	2	christopher	5
1		Pr€	edicted la	abel		jung	2	samuel	4

Python Gender-Guesser package

Popularly used package in Python, one of the oldest packages developed for guessing the first name from gender.

Based on a dataset collected by Jorg Michael, containing 40,000 names (from 2006), which was first collected into a python package in 2013. This package has been ported to several different languages but there is not much documentation on the method.

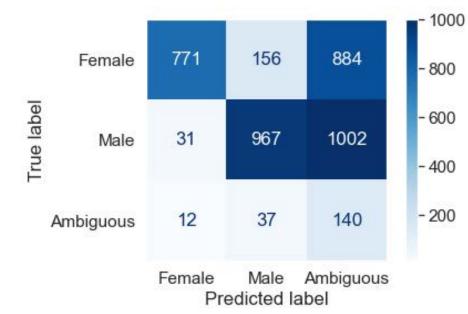
Focus is on European names

Source:[ref][https://github.com/cstuder/genderReader/blob/master/gender.c/gender.c]

This list should be able to cover the vast majority of first names in all European countries and in some overseas countries (e.g. China, India, Japan, U.S.A.) as well

Possible values: male, female, unknown (not found in the dataset) or andy (androgynous)

- The Confusion matrix shows that the method frequently misclassifies names as ambiguous (884 female and 1002 male).
- This is indicative of the relatively small dataset that powers this method, and the fact that this is one of the oldest implementations of a gendering system using first names.



Confusion matrix

Names that mismatch with PatentsView Names the method is not able to gender using this method Name and Frequency Name and Frequency john 39 john 39 38 michael 36 robert michael 36 robert 36 33 james 36 james david 24 david 25 william 24 william 24 23 maria 21 mark 20 maria 22 paul mark 20 paul 21 daniel 18 daniel 18

IPUMS Method

One of the methods in the R 'gender' package

Possible values – ['male', 'female', 'unknown', 'either']

IPUMS originally stood for Integrated Public Use Microdata Series. Since then, the datasets assembled have expanded and not all these projects are microdata and some have access conditions that limit their usage. It became inaccurate to describe IPUMS as a "public use" microdata series, So now, IPUMS continues as the data series name though it is no longer an acronym

- The Confusion Matrix shows a relatively high classification as ambiguous (but not as high as the Python Gender-Guesser package)
- The table shows the difficulty of this method to gender Asian names.

Confusion matrix						Names the method is not able to gender		Names that mismatch with PatentsView using this method	
	0.34.5 652				- 1500	Name and Freq	uency	Name and Fr	
	Female	1109	480	222	POSTAN TAKAN	thierry	6	young	12
					- 1250	yusuke	3	james	11
label					- 1000	hirofumi	3	kelly	10
	Male	74	1675	251	- 750	hartmut	3	michael	9
True la						svetlana	3	leslie	8
F		04	4	- 12	- 500	pengfei	3	nicole	6
	Amhiauaua		98	70	- 250	chantal	3	thierry	6
	Ambiguous	21	90	70		jean-claude	3	john	5
						nguyen	2	mike	5
		Female	Male	Ambiguous		hyoung	2	mark	5
		Pre	edicted la	abel					

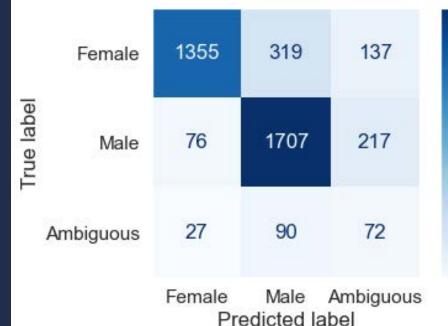
SSA Gender Method (Social Security)

One of the methods in the R 'gender' package

The "ssa" method looks up names based from the U.S. Social Security Administration baby name data. (This method is based on an implementation by Blevins & Mullen 2015.

Possible values – ['male', 'female', 'unknown']

- The Confusion Matrix shows higher accuracy for male names and less for female names.
- From the names that the method can't gender, this method has difficulty with Asian and French names, likely because the Social Security datasets are from the US and are several decades old.



	Names the method is	s not able to	Names that mismatch w	
- 1500	gender Name and Frec	luency	using this me Name and Free	
- 1250	jean-claude	3	young	12
1000	pengfei	3	james	11
- 1000	hartmut	3	michael	9
- 750	yoshitaka	3	mark	5
500	albrecht	3	casey	5
- 500	hirofumi	3	christopher	5
- 250	shigeki	2	john	5
	toshihiko	2	mike	5
	fumio	2	merle	4
	wilhelmus	2	kevin	4

NAPP Gender Method

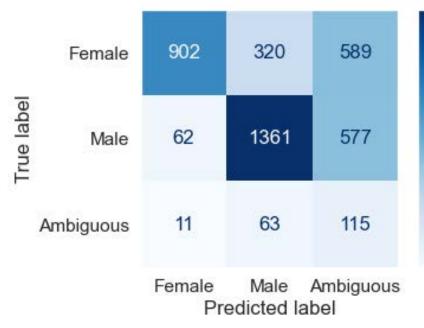
One of the methods in the R 'gender' package

Uses name: gender dictionaries sourced from the North Atlantic Population Project

Focuses on the following countries: "United States", "Canada", "United Kingdom", "Denmark", "Iceland", "Norway", "Sweden"

Uses census microdata from Canada, Great Britain, Denmark, Iceland, Norway, and Sweden from 1801 to 1910 Possible values – ['male', 'female', 'unknown', 'either']

 The Confusion Matrix shows frequent classification as ambiguous (589 female and 577 male), reflective of the dataset being sourced primarily from European and North American countries.



	Names the method is r	ot able to gender	Names that mismatch with PatentsView using this method		
- 1200	Name and Fre	equency	Name and Fre	equency	
- 1000	jennifer	13	jennifer	13	
- 1000	hiroshi	7	young	12	
- 800	thierry	6	james	11	
- 600	casey	5	michelle	11	
	dawn	5	michael	9	
- 400	hong	5	leslie	8	
- 200	wei	5	hiroshi	7	
- 200	hyun	4	jean	6	
	akiko	4	thierry	6	
	brad	4	mike	5	

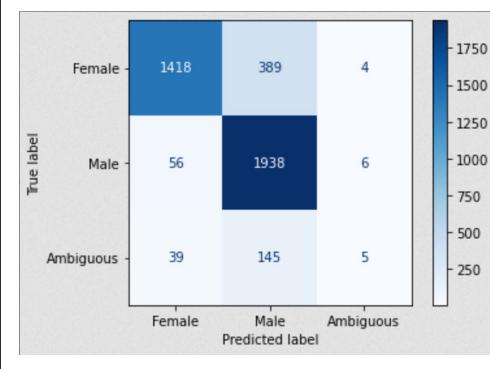
Genderize.io

One of the most commonly used methods in recent times

Uses social media data to build name-gender dictionaries, which makes it the best representation of real-world data. Possible values – ['male', 'female']

Very few cases where this method is not able to gender a name, reflective of the large dataset it works with.

- The Confusion Matrix shows high accuracy (1938 male names and 1418 female names)
- The tendency when the method makes errors is to predict females as males (389 times).



	Names the method is not able to gender	Names that mismatch with PatentsView using this method
D	Name and Frequency	Name and Frequency
D	sangmeshwer 1	young 12
	avadhesha 1	james 11
D	jing-shan 1	michael 9
D	vedala 1	mike 5
	annebärbel 1	casey 5
	claes-inge 1	dominique 5
	a. 1	john 5
	yu-qing 1	mark 5
	sabbana 1	christopher 5
	hong-da 1	stephen 4

Key Observations:

- WGND 2.0 and Genderize.io have the highest <u>accuracy</u>
- Precision is lower for males, i.e., When errors occur, its usually a female name incorrectly classified as male (Type 1 error is higher than Type 2)
- <u>Precision</u> is high for female names, which means that when these methods classify names as female they tend to be correct.
- <u>Recall</u> is high for male names, which means a large proportion

of male names are actually captured by the methods. Recall for female names tends

to be lower, meaning that fewer female names are captured out of the total set.

 <u>F1 score</u> is the harmonic mean of precision and recall. Practically it acts as a score for model performance, and in this case, it can be used to decide which method is best at classifying male names and female names.

Prediction Results

Comparing name-to-gender predictions										
Gender Attribution Approaches:	PatentsView Male Flag	WGND 1.0	WGND 2.0	Python Gender.Guesser	IPUMS	SSA	NAPP	Genderize.io		
Total predictions:	3,985	3,243	3,903	1,974	3,457	3,574	2,719	3,356		
Out of:	4,000	4,000	4,000	4,000	4,000	4,000	4,000	4,000		
Prediction rate:	0.996	0.811	0.976	0.494	0.864	0.894	0.680	0.839		
Accuracy		0.830	0.843	0.470	0.714	0.784	0.595	0.840		
Male										
Precision		0.801	0.802	0.834	0.743	0.807	0.780	0.784		
Recall		0.927	0.962	0.484	0.838	0.854	0.681	0.969		
F1 score		0.860	0.875	0.612	0.788	0.829	0.727	0.867		
Female										
Precision		0.915	0.947	0.947	0.921	0.929	0.925	0.937		
Recall		0.767	0.787	0.426	0.612	0.748	0.498	0.783		
F1 score		0.834	0.859	0.587	0.736	0.829	0.648	0.853		
Ambiguous										
Precision		0.452	0.247	0.069	0.129	0.169	0.090	0.333		
Recall		0.402	0.127	0.741	0.370	0.381	0.608	0.026		
F1 score		0.426	0.168	0.126	0.191	0.234	0.156	0.049		

• WGND 2.0 and Genderize.io are consistently the best methods for those tasks (But not necessarily the best at handling ambiguous names)

Consistent Mismatches

- The tables show examples where all gendering methods predict one value but USPTO male_flag disagrees.
- In our sample set, there are 12 cases where females are assigned as male_flag 1 (male), and 81 cases of males assigned as male_flag=0. (female)
- Pattern might imply an overcounting of women inventors would need to examine larger sample to confirm

name_first	name_last	male_flag
Caroline	Martin	1
Audrey	Brown	1
Linda	Parker	1
Esther	Schmitt	1
Claire	Wilson	1
Adriana	Katz	1
Marie	Andersson	1
Jenny	Viklund	1
Diana	Thompson	1
Melinda	Sanders	1
Julie	Hughes	1
Maria	Castaneda	1

name_first	name_last	male_flag
Christian	Neumann	0
Adam	Baker	0
Donat	Pelletier	0
Andrew	Wright	0
Martin	Joly	0
Rob	Walker	0
Caleb	Curtis	0
Scott	Kirk	0
Martin	Kowalski	0
Yong	Luo	0
Andreas	Schroeder	0
Klaus	Voigt	0

Civil Subset vs Pharmaceutical Subset

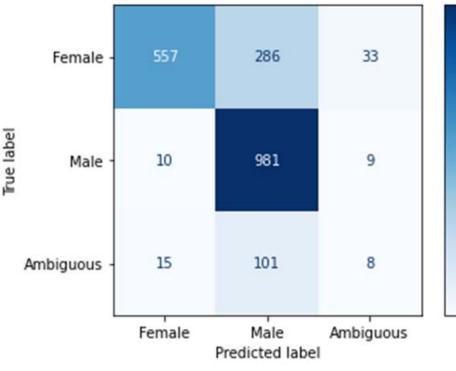
Are their differences in assigning male/non-male flags in Civil Engineering compared to Pharmaceuticals?

Used the two high performing packages:

- WGND 2.0
- Genderize.io
- We split the dataset by field (Civil and Pharma) and also by gender as assigned by male_flag (1 or not 1)
- This results in 4 sets. civil_nonmale, civil_male, pharma_nonmale, pharma_male,
- Comparing accuracy measures, using male_flag as ground truth and WGND 2.0 as our method gives us
 - ['accuracy_score', 0.565, 0.981, 0.884, 0.943]
- Comparing accuracy measures using male_flag as ground truth and genderize.io as our method gives us
 - ['accuracy_score', 0.558, 0.984, 0.865, 0.954]
- <u>Key Takeaway:</u> The male_flag assigned gender is incorrect more frequently when gendering civil inventors who are not male. Pharma inventors who are not male get correctly identified significantly more often than civil inventors who are not male.

Civil Subset Analysis: WGND 2.0

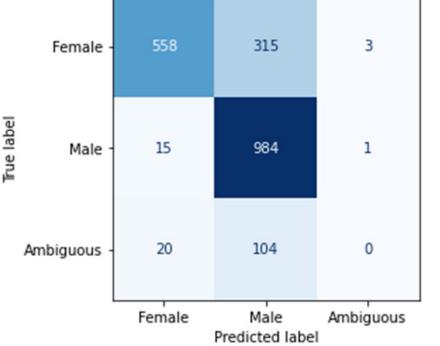
- Confusion matrix: female names are more often misclassified as males. Results are similar to Genderize.io
- Because the ground truth we are using for comparison is the PatentsView male_flag, some
 of these mismatches may be incorrectly assigned. These mismatches may also be the result
 of country-specific naming conventions which often allows certain names to be used by both
 males and females.



	Male Names Assig	gned Female	Female Names Ass	signed Male
- 800	Name and Fre	equency	Name and Free	quency
	parveen	1	michael	9
- 600	krishna	1	james	9
	audrey	1	dominique	5
400	jean	1	john	5
- 400	sophia	1	mike	5
	sharon	1	andrew	4
- 200	maria	1	casey	4
	caroline	1	samuel	4
J	kazumi	1	kerry	4
	leslie	1	merle	4

Civil Subset Analysis: Genderize.io

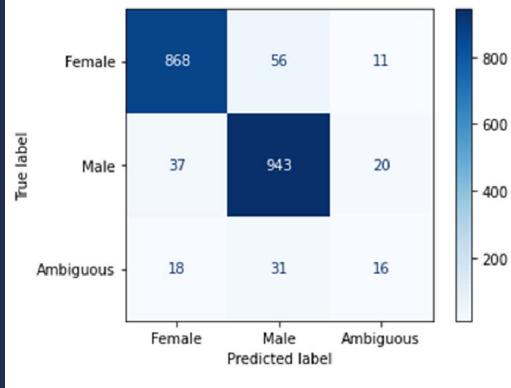
- Confusion matrix: female names are more often misclassified as males. Results are similar to WGND 2.0
- Similar to the prior slide, some of these mismatches could be related to the inconsistencies in the male_flag column or country specific naming conventions.



	Male Names Assigned Female	Female Names Assigned Male
800	Name and Frequency	Name and Frequency
- 800	maria 1	michael 9
600	vesa 1	james 9
- 600	sharon 1	young 9
	sophia 1	mike 5
- 400	ira 1	dominique 5
	leslie 1	john 5
- 200	audrey 1	andrew 4
	parveen 1	casey 4
μ ₀	kazumi 1	samuel 4
	jean 1	kevin 4

Pharmaceutical Subset Analysis: WGND 2.0

- Confusion matrix: The classification of male and female names appears accurate in the pharmaceutical sector.
- Several of the mismatched names seem to be of Asian origin. Asian names are more challenging for name gendering systems.



Male Names Assigned Female	Female Names Assigned Male
Name and Frequency	Name and Frequency
tomomi 3	kei 2
morgan 2	stephen 2
andrea 2	christopher 2
karen 1	mark 2
linda 1	young 2
maureen 1	james 2
marite 1	mugesh 1
shikha 1	yuichi 1
xiaoli 1	yero 1
rachel 1	jae 1

Pharmaceutical Subset Analysis: Genderize.io

Similar to the WGND 2.0 method,

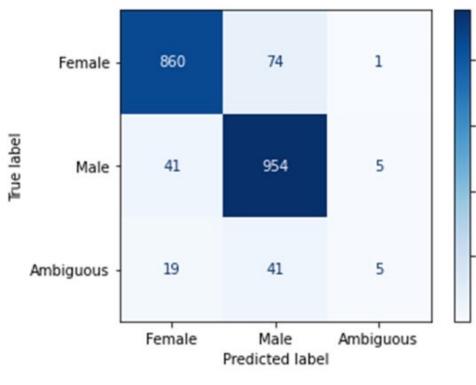
- Confusion matrix: The classification of male and female names appears accurate in the pharmaceutical sector.
- Several of the mismatched names seem to be of Asian origin. Asian names are more challenging for name gendering systems.

800

600

400

200



Male Names Assigned Female	Female Names Assigned Male
Name and Frequency	Name and Frequency
tomomi 3	young 3
jean 3	jin 3
jean-marie 2	hyun 2
andrea 2	stephen 2
esther 1	kei 2
jinny 1	mark 2
maria 1	christopher 2
marie 1	laurence 2
sangeeta 1	james 2
agnes 1	ji 1

Key Takeaways

- Most methods for gendering using first name tend to be better at classifying male names. This may be because When it comes to naming children, most people are more comfortable giving girls an unusual name.
 - Researchers informally call this the "playground effect," in which new parents imagine how the name they choose will play out for their child in the crucible of school recess (Hahn & Bentley 2003).
- Using WGND 2.0 with its country code field, could be a useful way to help address these mismatches. (This should be relatively easy considering WGND 1.0 is already part of the USPTO method)
- USPTO PatentsView male_flag may have a few mismatches, ~2% (assuming consensus between all other techniques) Mismatch could be higher if we don't use methods like NAPP and Gender.Guesser (that have limited databases)
- This mismatch might result in an overestimation of the number of female inventors.
- Significant number of mismatches originate from the challenges involved in gendering Asian inventor names, e.g., Korean, Japanese and Indian. French names can also be challenging.
- The male_flag assigned gender is incorrect more frequently when gendering civil inventors who are not male. Pharma inventors who are not male get correctly identified significantly more often than civil inventors who are not male.

4. Increasing Women Inventors: Challenges & Benefits (Literature Review)

Challenges

Underrepresentation of women in many STEM fields and on patents (Couch et al. 2020, Toole et al. 2021)

Implicit bias in innovation, education, and patent review boards (Stewart, 2017) Lack of high-quality, affordable childcare (Cutura 2019, Yellen 2017, US Dept of Treasury 2021)

Stagnation in women's labor force participation (Aguirre 2012, BLS 2022)

Benefits

Increasing the female participation rate to that of men would raise our Gross Domestic Product by 5 percent (Aguirre et al. 2012) Increasing women inventors would increase the breadth and novelty of patents applicable to all (Reardon 2021, Yang et al. 2022)

Ambitious USPTO Policy Idea

USPTO - NSF

Create innovative K-16 Educational Programs through grants

programs, e.g., fund grantees to develop and implement educational patent programs

- Fund through
 - Engineering Education and Centers (EEC)
 - Research on Learning in Formal and Informal Settings (DRL)
- Develop patent programs in girls-focused programs for K-12, e.g., Girls Excelling in Math and Science (GEMS), Girls Who Code, etc.
- Work with NSF to include patenting ideas in Broader Impacts criteria



National Science Foundation WHERE DISCOVERIES BEGIN

Research on Learning in Formal and Informal Settings (DRL)

DRL invests in the improvement of STEM learning for people of all ages by promoting innovative research, development, and evaluation of learning and teaching across all STEM disciplines in formal and informal learning settings.

Engineering Education and Centers (EEC)

Invests in creation of 21st century engineers and discovery of technologies through transformational center-based research, research in education and inclusion, and research opportunities for students and teachers.

Ambitious USPTO Policy Idea

<u>USPTO-Treasury Dept Partnership</u> Create Increasing Women Inventors program

- High profile program supported by Janet Yellen, USDT, and Kathi Vidal, USPTO
- Co-fund out of the Treasury's Equity Hub and USPTO Office of Innovation Outreach (OIO)



Kathi Vidal, Undersecretary of Commerce, USPTO



Janet Yellen, Secretary, Treasury Department

- Increase visibility of economic benefits & challenges for women inventors
- Conduct focus groups to develop policy ideas to support women inventors
- Expand existing mentorship programs across organizations, e.g., the Society for Women Engineers

Ambitious USPTO Policy Idea

Test anonymizing patent review process. Why?

- Blind auditions increase women in orchestras (Goldin & Rouse 1997)
- Harvard Business Review, To Reduce Gender Bias, Anonymize Job Applications (Johnson & Kirk 2020)
- NSF's Big Pitch experiment focused on novel ideas (Bhattacharjee 2012)
- NASA Science Mission Directorate, motivated by Hubble Space Telescope study, is adopting dual-anonymous peer review (DAPR) (Evans 2021)

Approach

- Hire experts and offer training to increase awareness of and to reduce implicit biases (Gino & Coffman 2022)
- Test experimentation and adoption of anonymous reviews (NSF 2012, NIH 2017, NAS 2021)



What's in a name?

Taylor (*left*) and Mydlarz (*right*) are among a handful of researchers who won NSF grants based on anonymous, two-page proposals describing the big idea behind their projects. CREDIT: COURTESY OF LAURA MYDLARZ; RICHARD MORAN

Other Ideas – See Appendix 4

Evaluate USPTO Outreach Programs

Who do they reach? Do they inspire innovation? What are outputs and outcomes? What is working/not working? How do results compare to nonprofit and private-sector initiatives?

- Women's Entrepreneurship Symposium
- Inventor and entrepreneur resources
- Inclusive Innovation hub
- Startup resources
- Many other programs

Partner with existing organizations (SWE, Lemelson-MIT InvenTeam, dozens of other programs)

- Branding USPTO logo as a supporter
- Work with organizations to increase awareness and knowledge of patenting process, e.g., Patent law organizations

Appendix: Background Literature

Increasing Women Inventors: Challenges and Benefits

Challenges

- Underrepresentation of women in many STEM fields and on patents (Couch et al. 2020, Toole et al. 2021)
- Implicit bias in innovation, education, and patent review boards (Stewart, 2017)
- Lack of high-quality, affordable childcare (Cutura 2019, US Dept of Treasury 2021)
- Stagnation in women's labor force participation Since 2000, the participation rate has stagnated in the U.S. – even as it has continued to rise in other advanced economies (Yellen 2022, BLS 2022)

Benefits

- Increase GDP increasing the female participation rate to that of men would raise our Gross Domestic Product by 5 percent (Aguirre et al. 2012)
- Increase the breadth of patents applicable to all (Reardon 2021)
- Increase novelty of patents (Yang et al. 2022)

Increasing Women Inventors - Challenges

- Underrepresentation of women In STEM
- Implicit Bias
- Lack of high-quality and affordable childcare
- Stagnant women's labor force participation



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Underrepresentation of Women

Women are on 5.5% of commercialized patents (Toole et. al, 2021)

• Mostly in teams

Young men and women are equally STEM capable, but women lack networks and exposure --> less opportunities for women (Couch et al. 2020)

- Both sexes perform equally well on science and math assessments in high school.
- AP enrollment is equal for both sexes
- Women are not exposed or encouraged to enter STEM careers early on nor made aware of women inventors

Childcare responsibilities are one reason that women to leave STEM workforce (Cutura 2019, Yellen 2017, 2022, US Department of Treasury 2021)

- Childcare is affordable for fewer than half of families
- Childcare requires ~13% of family income
- Childcare workers are in the bottom 2% of occupations

Implicit Bias

Funding bias

- Funding is necessary to patent due to huge costs (Cutura, 2019)
- Venture capitalists see innovation as maledominated (Stewart, 2017)
 - Male-produced innovations are more likely to be funded
- Patent review bias
 - Patent review boards tend to be male-dominated --> approve more male patents (Stewart, 2017)
 - Patenting seen as male field
- Education bias
 - Teachers tend to call on boys more than girls in math class (Stewart, 2017)
 - Parents may encourage daughters to pursue more femaledominated fields

Increasing Women Inventors - Benefits

- Economic growth
- Diversity increases the novelty of inventions
- Spillover effects of affordable and available childcare



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Outcomes with More Female Innovators

Economic growth

- Increasing female patenting would lead to 2.7-3.3% GDP growth (Cutura, 2019)
- As more women get patents --> more women have successful startups --> economic growth
- Metropolitan areas have more female inventors (concentration in medicine/chemistry and computer science industries) (Saksena et al. 2022)

Diversity links to novel innovations

- All women patent teams are 35% more likely to focus on women's health than all male or mixed teams (Koning et al. 2021)
- More women patenting will lead to more inventions that benefit women (Koning et al. 2021)
- Gender-diverse teams produce more novel and higher-impact scientific ideas (Yang et al. 2022)
- Female experiences inform and improve quantity and quality of innovation, expands research into new topics (fills technology gaps) (Saksena et al. 2022)

Outcomes with Affordable and Available Childcare

Improve childcare --> healthier, more prosperous labor force

• Children stay in school longer and get higher-paying jobs (Yellen 2021)

Women can stay in workforce (US Department of Treasury 2021)

- 0.5% real GDP growth per year from 1948-1990 due to more female participation in labor force
- Without childcare, women often take care of kids
 - Glass ceiling for women- intense careers demand long, inflexible work weeks
 - Part-time work lower wages and less opportunity for advancement
- Improve paid leave policies for caregiving and health responsibilities (like Europe) (Ricci 2015, Expatica 2018, 2022)
 - Better maternity leave, as well as leave for other child-related issues
 - Companies can also provide more flexible work weeks for women caring for children

Addressing Underrepresentation of Women Inventors

- Education
- Networking
- Childcare
- Partnering with professional societies

Addressing Implicit Bias

- Patent reviews
- Education
- Funding

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Education policies

- Expose and mentor young girls in innovation (campaigns in schools, programs targeted to girls)
- Dual enrollment programs and inventive education (Couch et al. 2020)
 - Public engagement beyond exposure will solidify interest in inventing (Couch et al. 2018)
- Provide career pathways, not just prerequisite education (Stewart 2017)
- Affluent school districts can implement inventive education campaigns more easily but must watch for socioeconomic gaps (Couch et al, 2020)
- Webinars on the importance of patenting and guidance on how to succeed (Cutura 2019)
- Lemelson-MIT InvenTeam program (Couch et al. 2018)

Addressing Bias

- K-12 education programs will decrease bias in classroom (exposes and encourages young girls)
- WIPO can encourage institutions/companies to examine programs for gender bias (Cutura, 2019)

Networking policies

- Create networks for women pursuing and already in STEM careers --> better access and connections to pursue patents (Shaw et al. 2018)
- Programs can implement spaces for women to make connections and hear experiences of other women and mentors (Shaw et al. 2018)
- Research Evaluation and Commercialization Hubs (REACH) (NIH 2022)

Childcare and workplace policy

- Flexible work arrangements and affordable childcare for working mothers (Cutura, 2019)
- Seminars and workshops to shed light on issues women face in the workplace and identify policies that will make a difference (Cutura, 2019)
- Improve paid leave policies for caregiving and health responsibilities
 - Better maternity leave, as well as leave for other child-related issues
 - Companies can also provide more flexible work weeks for women caring for children

Partner with existing organizations, for example,

Society of Women Engineers empowers women to achieve their full potential in engineering careers

- "advocate and catalyst for change for women in engineering and technology.
- Main focus is networking for women to
 - Share practices and raise awareness about issues in engineering education and workplace
 - Mentor young girls, girls pursuing engineering degrees, and professional engineers (SWENext & SWENext Clubs)
 - Patent Recognition Rewards annually recognizes SWE members who have been awarded a patent within the previous three years from the award application deadline.

Patent Review Bias

- Patent committees should be trained against bias (Cutura, 2019)
- WIPO can examine IP Law for bias against women (Cutura, 2019)

Funding Bias

- Create programs to fund female innovators (Shaw et.al., 2018)
 - Bioscience and Entrepreneurship Inclusion Initiative (BioSTL)
 - SBIR/STTR Phase 0 Assistance Program (U.S. Department of Energy)
- Create remote patent process to alleviate funding concerns/bias (and saves time) (Cutura, 2019)
- Companies can help employees with legal services and filing fees (Couch et.al., 2020)
 - Companies can file on behalf of female employee if they support commercializing the idea (Shaw et.al., 2018)
 - Alleviates funding concerns

Evaluate the effectiveness of USPTO Innovation Outreach programs compared to private & non-profit sector programs

- Develops awareness and outreach programs and training for inventors, organizations, and universities
- Creates annual programming and works with partners from other federal agencies, organizations, and universities to help everyone better understand, secure, and utilize IP
 - Women's Entrepreneurship Symposium
 - Inventor and entrepreneur resources
 - Inclusive Innovation hub
 - Startup resources
 - Many other programs

Source: https://www.uspto.gov/learning-and-resources/startup-resources



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Project GitHub

GitHub- uva-bi-sdad/us_patents_case_study <u>https://github.com/uva-bi-sdad/us_patents_case_study/upload/main/01_documents/Literature</u>

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